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jcs75 U.S. PTO

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Please type a plus sign (+) inside this box → +

PTO/SB/05 (12/97)  
Approved for use through 09/30/00. OMB 0651-0032Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. CUC-105 Total Pages

First Named Inventor or Application Identifier

CRUZ GARCIA, Eugenio

Express Mail Label No. EL448029211US

PTO  
09/46/17**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

|  |  |
|--|--|
| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form<br/>(Submit an original, and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Specification [Total Pages 7]<br/>(preferred arrangement set forth below)           <ul style="list-style-type: none"> <li>- Descriptive title of the Invention</li> <li>- Cross References to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to Microfiche Appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (if filed)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul> </p> <p>3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets 1]</p> <p>4. Oath or Declaration [Total Pages 8]           <ul style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</li> <li>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))<br/>(for continuation/divisional with Box 17 completed)<br/><i>[Note Box 5 below]</i> <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> DELETION OF INVENTOR(S)<br/>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</li> </ul> </li> </ul> </p> <p>5. <input type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked)<br/>The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p> | <p>6. <input type="checkbox"/> Microfiche Computer Program (Appendix)</p> <p>7. Nucleotide and/or Amino Acid Sequence Submission<br/>(if applicable, all necessary)           <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Computer Readable Copy</li> <li>b. <input type="checkbox"/> Paper Copy (identical to computer copy)</li> <li>c. <input type="checkbox"/> Statement verifying identity of above copies</li> </ul> </p> |
|--|--|

**ACCOMPANYING APPLICATION PARTS**

|  |  |
|--|--|
| <p>8. <input checked="" type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>9. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee) <input checked="" type="checkbox"/> Power of Attorney</p> <p>10. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>11. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>12. <input type="checkbox"/> Preliminary Amendment</p> <p>13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br/>(Should be specifically itemized)</p> <p>14. <input checked="" type="checkbox"/> Small Entity Statement(s) <input type="checkbox"/> Statement filed in prior application, Status still proper and desired</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s)<br/>(if foreign priority is claimed)</p> <p>16. <input type="checkbox"/> Other: .....</p> |  |
|--|--|

**17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:**

Continuation     Divisional     Continuation-in-part (CIP)    of prior application No: \_\_\_\_\_ / \_\_\_\_\_

**18. CORRESPONDENCE ADDRESS**

Customer Number or Bar Code Label: ..... or  Correspondence address below  
 (Insert Customer No. or Attach bar code label here)

|         |                              |           |              |          |              |
|---------|------------------------------|-----------|--------------|----------|--------------|
| NAME    | Donald C. Lucas, Esq.        |           |              |          |              |
|         | BIERMAN, MUSERLIAN AND LUCAS |           |              |          |              |
| ADDRESS | 600 Third Avenue             |           |              |          |              |
|         |                              |           |              |          |              |
| CITY    | New York                     | STATE     | New York     | ZIP CODE | 10016        |
| COUNTRY | US                           | TELEPHONE | 212-661-8000 | FAX      | 212-661-8002 |

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# FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.

These are the fees effective October 1, 1997.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$ 420

## Complete if Known

|                      |                      |
|----------------------|----------------------|
| Application Number   |                      |
| Filing Date          |                      |
| First Named Inventor | CRUZ GARCIA, Eugenio |
| Examiner Name        |                      |
| Group / Art Unit     |                      |
| Attorney Docket No.  | CUC-105              |

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2275  
Deposit Account Name BIERMAN, MUSERLIAN AND LUCAS

Charge Any Additional Fee Required Under 37 C.F.R. §§ 1.16 and 1.17  Charge the Issue Fee Set in 37 C.F.R. § 1.18 at the Mailing of the Notice of Allowance

2.  Payment Enclosed:

Check  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

## Large Entity Small Entity

| Fee Code (\$)     | Fee Code (\$) | Fee Description               | Fee Paid |
|-------------------|---------------|-------------------------------|----------|
| 101               | 790           | 201 385 Utility filing fee    | 380      |
| 108               | 330           | 208 165 Design filing fee     |          |
| 107               | 540           | 207 270 Plant filing fee      |          |
| 108               | 790           | 208 385 Reissue filing fee    |          |
| 114               | 150           | 214 75 Provisional filing fee |          |
| SUBTOTAL (1) (\$) |               |                               | 380      |

## 2. EXTRA CLAIM FEES

| Total Claims       | -20** | = | Extra Claims | X | Fee from below | Fee Paid |
|--------------------|-------|---|--------------|---|----------------|----------|
| Independent Claims | - 3** | = |              | X |                |          |
| Multiple Dependent |       | = |              | X |                |          |

| **or number previously paid, if greater; For Reissues, see below |               |   |  |
|--|---------------|---|--|
| Large Entity Small Entity  |               |   |  |
| Fee Code (\$)  | Fee Code (\$) | Fee Description   |  |
| 103  | 22            | 203 11 Claims in excess of 20                                     |  |
| 102  | 82            | 202 41 Independent claims in excess of 3                          |  |
| 104  | 270           | 204 135 Multiple dependent claim, if not paid                     |  |
| 109  | 82            | 209 41 ** Reissue independent claims over original patent         |  |
| 110  | 22            | 210 11 ** Reissue claims in excess of 20 and over original patent |  |
| SUBTOTAL (2) (\$)  |               |   |  |

## 3. ADDITIONAL FEES

| Large Entity Small Entity | Fee Code (\$) | Fee Code (\$)   | Fee Description | Fee Paid |
|---------------------------|---------------|---|-----------------|----------|
| 105                       | 130           | 205 65 Surcharge - late filing fee or oath  |                 |          |
| 127                       | 50            | 227 25 Surcharge - late provisional filing fee or cover sheet                     |                 |          |
| 139                       | 130           | 139 130 Non-English specification   |                 |          |
| 147                       | 2,520         | 147 2,520 For filing a request for reexamination                                  |                 |          |
| 112                       | 920*          | 112 920* Requesting publication of SIR prior to Examiner action                   |                 |          |
| 113                       | 1,840*        | 113 1,840* Requesting publication of SIR after Examiner action                    |                 |          |
| 115                       | 110           | 215 55 Extension for reply within first month                                     |                 |          |
| 116                       | 400           | 216 200 Extension for reply within second month                                   |                 |          |
| 117                       | 950           | 217 475 Extension for reply within third month                                    |                 |          |
| 118                       | 1,510         | 218 755 Extension for reply within fourth month                                   |                 |          |
| 128                       | 2,080         | 228 1,030 Extension for reply within fifth month                                  |                 |          |
| 119                       | 310           | 219 155 Notice of Appeal  |                 |          |
| 120                       | 310           | 220 155 Filing a brief in support of an appeal                                    |                 |          |
| 121                       | 270           | 221 135 Request for oral hearing  |                 |          |
| 138                       | 1,510         | 138 1,510 Petition to institute a public use proceeding                           |                 |          |
| 140                       | 110           | 240 55 Petition to revive - unavoidable   |                 |          |
| 141                       | 1,320         | 241 680 Petition to revive - unintentional  |                 |          |
| 142                       | 1,320         | 242 680 Utility issue fee (or reissue)  |                 |          |
| 143                       | 450           | 243 225 Design issue fee  |                 |          |
| 144                       | 670           | 244 335 Plant issue fee   |                 |          |
| 122                       | 130           | 122 130 Petitions to the Commissioner   |                 |          |
| 123                       | 50            | 123 50 Petitions related to provisional applications                              |                 |          |
| 128                       | 240           | 126 240 Submission of Information Disclosure Stmt                                 |                 |          |
| 581                       | 40            | 581 40 Recording each patent assignment per property (times number of properties) |                 |          |
| 148                       | 790           | 246 395 Filing a submission after final rejection (37 CFR 1.129(a))               |                 |          |
| 149                       | 790           | 249 395 For each additional invention to be examined (37 CFR 1.129(b))            |                 |          |

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 40

## SUBMITTED BY

|                       |                       |   |
|-----------------------|-----------------------|---|
| Typed or Printed Name | Donald C. Lucas, Esq. | Complete (if applicable)  |
| Signature             | Donald C. Lucas       | Reg. Number 31,275<br>Date 12-13-99 Deposit Account User ID 20311 |

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09/460117  
12/13/99

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Date of Deposit: Dec. 13, 1999

I hereby certify that this correspondence is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" Service under 37 CFR 1.10 on the date indicated above and is addressed to Asst. Commissioner for Patents, Washington, D.C. 20231.

S. halconiceguy